



Black Hole and Equipotential Photon Surface uniqueness  
in  $(n+1)$ -dimensional static vacuum spacetimes  
via Robinson's method

*Albachiara Cogo*

joint work with

*C. Cederbaum, B. Leandro and J. P. dos Santos*

Interdisciplinary junior scientist workshop:  
*Mathematical General Relativity*

Wildberg, 3rd March 2023

We study solutions  $(\mathcal{L}^{n+1}, g)$  in any dimension to the **vacuum Einstein equation** that are

### 1 Standard Static

$\exists (M, g)$  Riemannian manifold with compact boundary  $\partial M$  and  $N : M \rightarrow \mathbb{R}$  with  $N > 0$  in  $\overset{\circ}{M}$ , called *Lapse Function* such that

$$\mathcal{L}^{n+1} = \mathbb{R} \times M, \quad g = -N^2 dt^2 + g.$$

### 2 Asymptotically Flat

$$(x_*g)_{ij} = \delta_{ij} + o_2(|x|^{-\tau}), \quad \tau < n - 2.$$

in presence of (a *Black Hole Horizon* or) an *Equipotential Photon Surface*.

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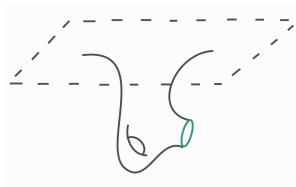
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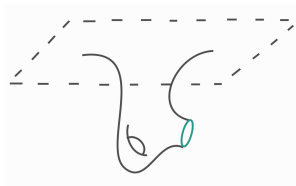
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The problem can be reduced to the study of tuples  $(M, g, N)$  which satisfy the *Static Einstein Equation in vacuum*

$$\left\{ \begin{array}{ll} N \operatorname{Ric} = D^2 N & \text{in } M, \\ \Delta N = 0 & \text{in } M, \\ N = N_0 \geq 0 & \text{on } \partial M \end{array} \right. \quad (1)$$

and the decay condition

$$N \circ x^{-1} = 1 - \frac{m}{|x|^{n-2}} + o_2(|x|^{-(n-2)}) \quad \text{as } |x| \rightarrow +\infty,$$

## The Schwarzschild solution

The unique **rotationally symmetric** solution is the *Schwarzschild solution* of mass  $m \in \mathbb{R}$

$$M_m = \left( (2m)^{\frac{1}{n-2}}, +\infty \right) \times \mathbb{S}^{n-1},$$

$$g = \frac{1}{N^2} dr^2 + r^2 g_{\mathbb{S}^{n-1}},$$

where the *Lapse Function* is

$$N = \sqrt{1 - \frac{2m}{r^{n-2}}}.$$

### Definition (*Static Horizon*)

Let  $(M, g, N)$  be a static system,  $\partial M$  is a *Static Horizon* if  $N_0 = 0$ .

### *Properties*

- The surface gravity  $|\nabla N|_0$  is constant.
- Totally geodesic  $\Rightarrow H = 0$ .

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## Definition (*Photon Surface*)

- An embedded **timelike** hypersurface  $P^n \hookrightarrow (\mathcal{L}^{n+1}, g)$  is called a *Photon Surface* if it is **null totally geodesic**.
- A photon surface  $P^n$  is called *Equipotential* if the lapse function  $N$  is constant along each connected component of each time slice  $\Sigma^{n-1}(t) := P^n \cap M(t)$ .

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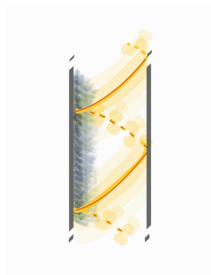
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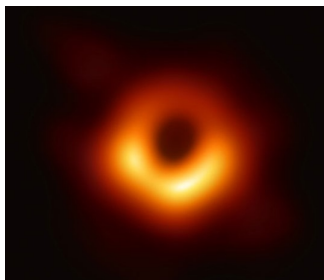
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*Properties (See Cederbaum, Jahns & Vičánek–Martínez (i. p.))*

- $|\nabla N|_0$  is constant.
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- $R^{\partial M}$ ,  $H$  are constant and

$$R^{\partial M} = \frac{2H|\nabla N|_0}{N_0} + \frac{n-2}{n-1} H^2$$



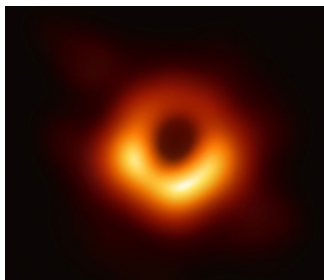
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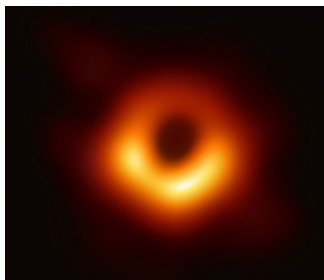


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Let  $(M, g, N)$  be an asymptotically flat solution to (1).

Suppose that  $\partial M$  is a **connected** Static Horizon or a connected (time slice of an) Equipotential Photon Surface. Then,

$$\begin{aligned} \frac{1-N_0^2}{2} \left( \frac{|\partial M|}{|\mathbb{S}^{n-1}|} \right)^{\frac{n-2}{n-1}} & \sqrt{\left( \frac{|\mathbb{S}^{n-1}|}{|\partial M|} \right)^{\frac{n-3}{n-1}} \frac{\int_{\partial M} (R^{\partial M} - \frac{n-2}{n-1} H^2) dS}{(1-N_0^2)(n-1)(n-2)|\mathbb{S}^{n-1}|}} \\ & \geq m \geq \frac{1-N_0^2}{2} \left( \frac{|\partial M|}{|\mathbb{S}^{n-1}|} \right)^{\frac{n-2}{n-1}} \end{aligned}$$

In addition, if

$$\int_{\partial M} R^{\partial M} dS \leq (n-1)(n-2) |\mathbb{S}^{n-1}|^{\frac{2}{n-1}} |\partial M|^{\frac{n-3}{n-1}}$$

then  $(M, g)$  is isometric to Schwarzschild of mass  $m$ .

<i><b>Black Hole</b></i>	<i><b>Equipotential Photon Surface</b></i>
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*Main idea of other approaches (e.g. Robinson for BH Uniqueness in  $n = 3$ ):*

- Select a vector field which divergence is non-negative and such that detects rotational symmetry when it vanishes.

$$\forall a, b \in \mathbb{R} \text{ such that } F_{a,b}(N) := \frac{aN^2 + b}{(1 - N^2)^3} > 0$$

$$\mathcal{X}_{a,b}^3 := F_{a,b}(N) \frac{\nabla |\nabla N|^2}{N} + \left( \frac{6F_{a,b}(N)}{(1 - N^2)^4} - \frac{2a}{(1 - N^2)^3} \right) |\nabla N|^2 \nabla N$$

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Robinson's approach in  $n = 3$ 

$$\operatorname{div} (\mathcal{X}_{a,b}^3) = \frac{F_{a,b}(N)}{4|\nabla N|^2} \left( \frac{3(1-N^2)^2}{N} \left| \nabla \frac{|\nabla N|^2}{(1-N^2)^2} \right|^2 + N^3 |C|^2 \right) \geq 0$$

where  $C$  is the *Cotton tensor*

$$C(X, Y, Z) := (\nabla_X \operatorname{Ric}(Y, Z) - \nabla_Y \operatorname{Ric}(X, Z)) \\ + \frac{1}{2(n-1)} (\nabla_Y R g(X, Z) - \nabla_Z R g(X, Y)).$$

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## Robinson's approach in $n \geq 3$ ?

Which tensor plays the role of the *Cotton tensor*  $C$ ? The *Weyl tensor*?

$$W := \text{Rm} - \frac{1}{n-2} \left( \text{Ric} - \frac{R}{2(n-1)} g \right) \otimes g$$

The right tensor is

$$\begin{aligned} T(X, Y, Z) &:= \frac{n-1}{n-2} \left[ \text{Ric}(X, Z) dN(Y) - \text{Ric}(Y, Z) dN(X) \right] \\ &\quad - \frac{1}{n-2} \left[ \text{Ric}(X, \nabla N) g(Y, Z) - \text{Ric}(Y, \nabla N) g(X, Z) \right] \\ &\quad - \frac{R}{(n-1)(n-2)} [dN(X)g(Y, Z) - dN(Y)g(X, Z)] \\ &= NC(X, Y, Z) - W(X, Y, Z, \nabla N). \end{aligned}$$

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The tensor  $T$  has been largely used to detect rotational symmetry on **steady gradient Ricci solitons**

$$\text{Ric} = \mathcal{L}_{(-\nabla f)} g = \nabla^2 f$$

and the  $T$  tensor is such that

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In addition, if

$$\int_{\partial M} R^{\partial M} dS \leq (n-1)(n-2) |\mathbb{S}^{n-1}|^{\frac{2}{n-1}} |\partial M|^{\frac{n-3}{n-1}}$$

then  $(M, g)$  is isometric to Schwarzschild of mass  $m$ .

**Step 1:** define the family of vector fields  $\mathcal{X}_{a,b}^n$  and use the divergence theorem

$$\text{Let } n \geq 3 \text{ and } F_{a,b}(N) := \frac{aN^2+b}{(1-N^2)^{\frac{n}{n-2}}} > 0$$

$$\operatorname{div}(\mathcal{X}_{a,b}^n) = \frac{(n-2)^2 N F_{a,b}}{(n-1)^2 |\nabla N|^2} |T|^2 + \frac{n F_{a,b}}{2(n-1)N} (1-N^2)^{\frac{2(n-1)}{n-2}} \left| \nabla \frac{|\nabla N|^2}{(1-N^2)^{\frac{2(n-1)}{n-2}}} \right|^2 \geq 0$$

$$\begin{aligned} 0 \leq \int_{\mathbb{M}} \operatorname{div}(\mathcal{X}_{a,b}^n) &= F_{a,b}(N_0) |\nabla N|_0 \int_{\partial \mathbb{M}} \left[ R^{\partial \mathbb{M}} - \frac{n-2}{n-1} H^2 \right] dS \\ &\quad - \left( \frac{2n}{(n-2)(1-N_0^2)} F_{a,b}(N_0) - \frac{2a}{(1-N_0^2)^{\frac{n}{n-2}}} \right) |\nabla N|_0^3 |\partial \mathbb{M}| \\ &\quad - \frac{(a+b)(n-2)^3}{2^{\frac{n}{n-2}}} |\mathbb{S}^{n-1}| m^{\frac{n-4}{n-2}} \end{aligned}$$

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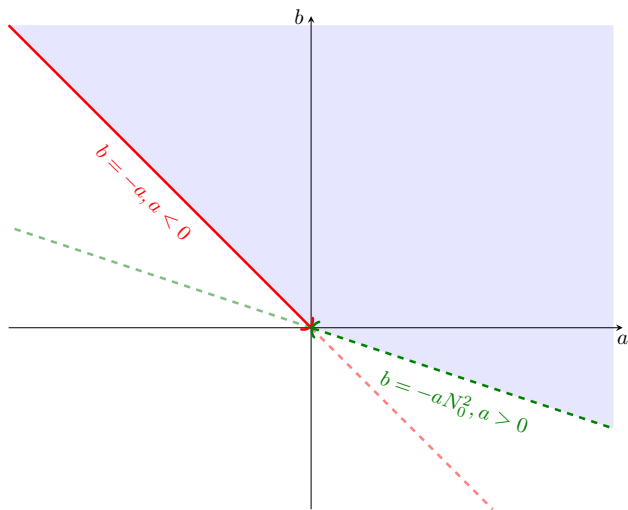
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Admissible values of  $a$  and  $b$  so that  $F_{a,b}(N) > 0$ :



Considering  $b = -a$ ,  $a < 0$  and  $b = -aN_0^2$ ,  $a > 0$ , combined with the properties of the Static Horizon or the Equipotential Photon Sphere and the Smarr formula

$$\int_{\partial M} |\nabla N| = (n-2)|S^{n-1}|m$$

gives the two estimates on  $m$ .

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## Step 2: proof of rotational symmetry

Under the condition

$$\int_{\partial M} R^{\partial M} dS \leq (n-1)(n-2) |\mathbb{S}^{n-1}|^{\frac{2}{n-1}} |\partial M|^{\frac{n-3}{n-1}}$$

$$\operatorname{div}(\mathcal{X}_{a,b}^n) = 0 \quad \text{iff} \quad \left| \nabla \frac{|\nabla N|^2}{(1-N^2)^{\frac{2(n-1)}{n-2}}} \right|^2 = 0 \quad \text{and} \quad |T|^2 = 0$$

- Use  $N$  as a coordinate:  $g = \frac{1}{|\nabla N|^2} dN^2 + g_N$
- Use  $0 = T(\cdot, \cdot, \nabla N)$  and  $0 = T(\cdot, \nabla N, \cdot)$  to deduce that  $\Sigma_N$  are *totally umbilic* and *CMC*.
- Solve an ODE for  $g_N$  to get  $g_N = f(N) g_{\partial M}$  and conclude by the asymptotic conditions.

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# Thank you!